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SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF MONTEREY

RICHARDS J. HEUER III, an individual,
on behalf of himself and all others similarly
situated,

Plaintiff,

v.

MONTEREY PENINSULA WATER
MANAGEMENT DISTRICT, a California
public agency; and DOES through 10,

Defendants.

CASE NO. 24 CV002642

Unlimited Jurisdiction

(Case assigned to Hon. Carrie M. Panetta)
Dept 14)

**CLASS ACTION SETTLEMENT
AGREEMENT AND STIPULATION**

[CLASS ACTION]

Complaint Filed: June 25, 2024

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EXHIBIT LIST

EXHIBIT “A”	PROPOSED LONG FORM CLASS NOTICE
EXHIBIT “B”	PROPOSED SUMMARY CLASS NOTICE
EXHIBIT “C”	PROPOSED PRELIMINARY APPROVAL ORDER
EXHIBIT “D”	PROPOSED FINAL ORDER AND JUDGMENT
EXHIBIT “E”	TIMELINE OF EVENTS

1 **CLASS ACTION SETTLEMENT AGREEMENT AND STIPULATION**

2 Plaintiff Richards J. Heuer III (“Plaintiff”), on behalf of himself and the Class Members, on
3 the one hand, and the Monterey Peninsula Water Management District (the “District”), on the other
4 hand, in consideration for and subject to the promises, terms, and conditions contained in this Class
5 Action Settlement Agreement and Stipulation, hereby stipulate and agree, subject to Court approval,
6 as follows:

7 **I.**

8 **RECITALS**

9 **WHEREAS**, on September 28, 2021, Plaintiff, together with the Monterey Peninsula
10 Taxpayers’ Association, Inc. (“MPTA,” and together with Plaintiff, the “Petitioners”) filed a
11 Verified Petition for Writ of Mandate & Complaint for Declaratory Relief against the District
12 entitled *Monterey Peninsula Taxpayers’ Association, Inc. et al. v. the Monterey Peninsula Water*
13 *Management District, et al.* in Monterey County Superior Court Case No. 21CV003066 (the “2021
14 Action”) challenging, among other things, the validity of the District’s collection of a charge under
15 District Ordinance No. 152 (the “Water Supply Charge”) simultaneously with a user fee imposed
16 by the District and collected through California-American Water Company, an investor-owned
17 utility (the “User Fee”). The 2021 Action did not seek refunds of any kind.

18 **WHEREAS**, on July 20, 2022, Petitioners filed an action entitled *Monterey Peninsula*
19 *Taxpayers’ Association, Inc., et al. v. the Monterey Peninsula Water Management District, et al.*,
20 Monterey County Superior Court Case No. 22CV002113, under section 860 et seq. of the California
21 Code of Civil Procedure asserting substantive allegations similar to the allegations in the 2021
22 Action (the “Validation I Action”).

23 **WHEREAS**, on March 3, 2023, the trial court entered an Order Granting Petition for Writ
24 of Mandate and Request for Declaratory Relief in the 2021 Action prohibiting collection of the
25 Water Supply Charge by the District to the extent the charge was offset by User Fee revenue.

26 **WHEREAS**, the District timely noticed an appeal of the 2021 Action in the Sixth District
27 Court of Appeal, Case No. H051128 (the “Appeal”).

1 **WHEREAS**, on July 31, 2023, Petitioners filed an action entitled *Monterey Peninsula*
2 *Taxpayers' Association, Inc., et al. v. the Monterey Peninsula Water Management District, et al.*,
3 Monterey County Superior Court Case No. 23CV002453, under section 860 et seq. of the California
4 Code of Civil Procedure (the "Validation II Action").

5 **WHEREAS**, on December 1, 2023, Plaintiff transmitted to the District a class-wide claim
6 seeking refunds of the Water Supply Charge on behalf of all property owners in the County of
7 Monterey who had paid the Water Supply Charge.

8 **WHEREAS**, on December 27, 2023, the District notified Plaintiff, though his counsel, that
9 it had rejected the claim.

10 **WHEREAS**, on June 25, 2024, Plaintiff filed the present class action entitled *Richards J.*
11 *Heuer III v. The Monterey Peninsula Water Management District*, Monterey County Superior Court
12 Case No. 24CV002642 (the "Class Action"), seeking, among other things, refunds of the Water
13 Supply Charge.

14 **WHEREAS**, on August 13, 2024, Petitioners filed an action entitled *Monterey Peninsula*
15 *Taxpayers' Association, Inc., et al. v. the Monterey Peninsula Water Management District, et al.*,
16 Monterey County Superior Court Case No. 24CV003408, under section 860 et seq. of the California
17 Code of Civil Procedure (the "Validation III Action"). The Validation I Action, Validation II
18 Action, and Validation III Action are referred to together as the "Validation Actions."

19 **WHEREAS**, on September 11, 2024, the Sixth District issued its Opinion in the Appeal,
20 affirming the trial court's judgment in the 2021 Action and issued its remittitur on November 14,
21 2024.

22 **WHEREAS**, the 2021 Action has been fully litigated and the judgment therein is final.

23 **WHEREAS**, on January 17, 2025, the District filed an answer to the Class Action generally
24 denying the allegations and asserting numerous affirmative defenses.

25 **WHEREAS**, the Water Supply Charge is billed and collected through property tax bills
26 issued by the County of Monterey.

27 **WHEREAS**, the District imposed the Water Supply Charge in FY 22-23 and FY 23-24, but
28 did not impose it in FY 24-25.

1 **WHEREAS**, this Class Action seeks to certify a class defined as follows:

2 All County of Monterey property owners who paid the Water Supply Charge
3 authorized and established by Monterey Peninsula Water Management District
4 Ordinance No. 152 during the Class Period (the “Class”). Expressly excluded from
5 the Class are (a) all persons who make a timely election to be excluded from the
6 Class, and (b) the judge(s) to whom this Class Action is assigned and any immediate
7 family members thereof.

8 **WHEREAS**, the Class Period is defined as the period from December 1, 2022 through the
9 date the Court enters its Preliminary Approval Order.

10 **WHEREAS**, the District collected \$3,353,245 in Water Supply Charges from putative class
11 members in FY 23-24 and \$3,394,345 in Water Supply Charges from putative class members in FY
12 22-23.

13 **WHEREAS**, on November 18, 2024, the District approved funds for a rate design consultant
14 for purposes of replacing the Water Supply Charge with a new charge that would be subject to a
15 Proposition 218 approval process. The District represents that, in the absence of this Settlement
16 Agreement, it intends to pursue through a Proposition 218 approval process a new charge that funds
17 some or many of the projects and activities that the Water Supply Charge previously funded and to
18 place such charge on property tax bills in FY 25-26.

19 **WHEREAS**, before entering into this Settlement Agreement, and in addition to fully
20 litigating the 2021 Action through judgment and the Appeal, Plaintiff, by and through his counsel,
21 conducted a thorough examination, investigation, and evaluation of the relevant law, facts, and
22 allegations to assess the merits of the claims and potential claims and the District’s defenses to
23 determine the strength of the matters alleged in the Class Action.

24 **WHEREAS**, this Settlement was reached as a result of extensive arm’s-length negotiations
25 between the Parties and their counsel, including over the course of several months. Before and
26 during these settlement discussions, the Parties had litigated the 2021 Action through judgment and
27 appeal and exchanged sufficient information to permit the Parties and their counsel to evaluate the
28

risks of appeal and to meaningfully conduct informed settlement discussions with respect to the Class Action.

WHEREAS, as a result of extensive arm’s-length negotiations, Plaintiff and proposed Class Counsel, on behalf of the Class, and the District entered into the Agreement to settle and resolve the Class Action.

NOW, THEREFORE, in consideration of the mutual covenants and terms contained herein, and subject to court approval of this Settlement Agreement, and entry of a judgment consistent with this Settlement Agreement, the undersigned Plaintiff and Class Counsel, on behalf of the proposed Class, and the District stipulate and agree to compromise, resolve and otherwise settle their dispute as follows:

II.

DEFINITIONS

For the purposes of this Settlement only, as used in this Agreement and the exhibits attached hereto (which are an integral and material part of this Agreement and incorporated in their entirety herein by reference), the following terms have the following meanings, unless this Agreement specifically provides otherwise. The plural of any defined term includes the singular, and the singular of any defined term includes the plural, as the case may be:

1. “2021 Action” means the case entitled *Monterey Peninsula Taxpayers’ Association, Inc. et al. v. the Monterey Peninsula Water Management District, et al.*, Monterey County Superior Court Case No. 21CV003066, filed September 8, 2021.

2. “Agreement” or “Settlement Agreement” means this Class Action Settlement Agreement and Stipulation and the exhibits attached hereto, including any subsequent amendments and any exhibits to such amendments.

3. “Appeal” means the appeal of the judgment in the 2021 Action, Sixth District Court of Appeal, Case No. H051128.

4. “Attorneys’ Fees and Expenses” means such funds as may be approved and awarded by the Court to Class Counsel and Plaintiffs’ Counsel to compensate them for conferring the benefits upon the Class under this Settlement Agreement and for their professional time, fees, costs, advances

1 and expenses incurred in connection with or in furtherance of the Class Action, the Validation
2 Actions and the Settlement Agreement as approved by the Court.

3 5. “Class Action” means the present class action entitled *Richards J. Heuer III v. The*
4 *Monterey Peninsula Water Management District*, Monterey County Superior Court Case No.
5 24CV002642, filed June 25, 2024.

6 6. “Class Counsel” means Kearney Littlefield, LLP and Benink & Slavens, LLP.

7 7. “Class Member” means any member of the Settlement Class, not an Excluded
8 Person.

9 8. “Class Member Information” shall mean the first and last name and physical mailing
10 address of each Class Member.

11 9. “Class Notice” or “Settlement Class Notice” means collectively the proposed Long
12 Form Notice and proposed Summary Notice (attached in substantial form hereto as Exhibits A and B
13 respectively).

14 10. “Class Period” means the period from December 1, 2022 through the date the Court
15 enters the Preliminary Approval Order.

16 11. “Class Representative” means Plaintiff.

17 12. “Court” means the Superior Court of the State of California for the County of
18 Monterey.

19 13. “Court of Appeal” means the Court of Appeal of the State of California, Sixth
20 Appellate District.

21 14. “Defendant” means the District.

22 15. “Defendant’s Counsel” means counsel of record for the District: Colantuono,
23 Highsmith & Whatley, PC.

24 16. “District” means the Monterey Peninsula Water Management District.

25 17. “District Administration Expenses” means any and all fees, costs, charges, advances
26 and expenses of the District for the dissemination of the Summary Notice and the disbursement of
27 Net Settlement Funds to class members, as approved by the Court.

28 18. “Effective Date” means the date on which the Final Order and/or Final Judgment in

1 the Class Action has been entered and the time to appeal or otherwise challenge the judgment has
2 expired or, in the event of any appeal, the date upon remittitur following the affirmance of the Final
3 Judgment on appeal.

4 19. “Excluded Person” means any person or putative class member who timely and
5 effectively opted out and the judge(s) to whom this Class Action is assigned and any immediate
6 family members thereof.

7 20. “Exclusion Deadline” or “Opt-Out Deadline” means the date that falls on the day
8 that is sixty (60) calendar days after the Notice Date, or as ordered by the Court.

9 21. “Fairness Hearing” means the hearing that is to take place after the entry of the
10 Preliminary Approval Order, the Notice Date, the Exclusion Deadline, and the Objection Deadline
11 for purposes of: (i) entering the Final Order and Final Judgment; (ii) determining whether the
12 Settlement should be approved as fair, reasonable, and adequate; (iii) ruling upon an application for
13 Service Awards by the Class Representatives; and (iv) ruling upon an application by Class Counsel
14 for Attorneys’ Fees and Expenses.

15 22. “Final Order and Final Judgment” means the Court’s order and judgment finally
16 approving the Settlement, substantially in the form attached hereto as Exhibit D.

17 23. “Forbearance Period” shall mean and include the time period of February 5, 2025
18 through June 30, 2026.

19 24. “Litigation” shall refer to all causes of action and/or claims that have been or could
20 be asserted in connection with the Class Action on behalf of Plaintiff and/or members of the
21 Settlement Class.

22 25. “Long Form Notice” means the long form notice of settlement, substantially in the
23 form attached hereto as Exhibit A which shall be disseminated via website publication as set forth
24 in Paragraph 68.

25 26. “Net Settlement Fund” means the Settlement Fund less (i) District Administration
26 Expenses approved by the Court in its Final Order and Final Judgment, (ii) any Service Award(s)
27 approved by the Court in its Final Order and Final Judgment, and (iii) any Attorneys’ Fees and
28 Expenses approved by the Court in its Final Order and Final Judgment.

1 27. “Notice Date” means the first date upon which the Settlement Class Notice is
2 disseminated.

3 28. “Notice Plan” shall mean the manner by which Class Notice is to be disseminated
4 and the timelines for such as set forth in Paragraphs 67 through 70.

5 29. “Objection Deadline” means the date that falls on the day that is sixty (60) calendar
6 days after the Notice Date, or as otherwise ordered by the Court.

7 30. “Parties” means Plaintiff and the District.

8 31. “Petitioners” means Plaintiff and the Monterey Peninsula Taxpayers’ Association,
9 Inc.

10 32. “Plaintiff” means the plaintiff in this Class Action, Richards J. Heuer III.

11 33. “Preliminary Approval Date” means the date the Court enters the Preliminary
12 Approval Order.

13 34. “Preliminary Approval Order” means the order preliminarily approving the
14 Settlement, certifying a Settlement Class, and approving the Notice Plan and Class Notice
15 substantially in the form attached hereto as Exhibit C.

16 35. “Pro Rata Participation” means the quotient of the sum of an individual Class
17 Member’s FY 22-23 and FY 23-24 Water Supply Charge payments divided by \$6,747,590.

18 36. “Pro Rata Refund” for a particular Class Member means the product of the Net
19 Settlement Fund multiplied by the Class Member’s Pro Rata Participation. The total amount of all
20 of the Class Members’ Pro Rata Refunds shall equal the Net Settlement Fund.

21 37. “Release” means the release and waiver set forth in Paragraphs 81 through 89 herein
22 and in the Final Order and Final Judgment.

23 38. “Released Claims” means any claims that can be or were asserted, or that could
24 reasonably be or have been asserted, in the Litigation against the Released Party and that arise out
25 of, or relate to any or all of the acts, omissions, facts, matters, transactions, or occurrences that were
26 alleged in the Litigation, as more fully described in Paragraphs 81 through 89 herein.

27 39. “Released Party” means the District, including but not limited to its past, present and
28 future officers, board members, employees, subsidiaries, affiliates, partners, predecessors and

1 successors in interest, and assigns.

2 40. "Service Award" means such funds as may be awarded by the Court to the Class
3 Representative in recognition of his time, effort, and service to the Class expended in pursuing the
4 Litigation, and in fulfilling his obligations and responsibilities as the Class Representative.

5 41. "Settlement" means the settlement embodied in this Settlement Agreement and its
6 exhibits.

7 42. "Settlement Class" means and is comprised of the following:

8 All County of Monterey property owners who paid the Water Supply Charge
9 authorized and established by Monterey Peninsula Water Management District
Ordinance No. 152 during the Class Period.

10 Expressly excluded from the Class are (a) all persons who make a timely election to be excluded
11 from the Class, and (b) the judge(s) to whom this Class Action is assigned and any immediate family
12 members thereof.

13 43. "Settlement Fund" or "Gross Settlement" means an amount equal to \$3,353,245.

14 44. "Settlement Website" shall mean the URL: [www.wsc-settlement.com]

15 45. "Summary Notice" means the summary notice of settlement substantially in the form
16 attached hereto as Exhibit B which shall be disseminated *via* U.S. Mail as set forth in Paragraph 68
17 herein.

18 46. "Validation I Action" means the case entitled *Monterey Peninsula Taxpayers'*
19 *Association, Inc., et al. v. the Monterey Peninsula Water Management District, et al.*, Monterey
20 County Superior Court Case No. 22CV002113, filed July 20, 2022.

21 47. "Validation II Action" means the case entitled *Monterey Peninsula Taxpayers'*
22 *Association, Inc., et al. v. the Monterey Peninsula Water Management District, et al.*, Monterey
23 County Superior Court Case No. 23CV002453, filed July 31, 2023.

24 48. "Validation III Action" means the case entitled *Monterey Peninsula Taxpayers'*
25 *Association, Inc., et al. v. the Monterey Peninsula Water Management District, et al.*, Monterey
26 County Superior Court Case No. 24CV003408, filed August 13, 2024.

27 49. "Validation Actions" means the Validation I Action, the Validation II Action, and
28 the Validation III Action.

50. “Water Supply Charge” means the charge imposed / levied by the District under the authority provided by District Ordinance No. 152 adopted on June 27, 2012.

III.

COMPROMISE OF HIGHLY CONTESTED ISSUES

51. This Settlement represents the compromise of highly contested issues in the Litigation such as the propriety of class certification, the proper method to seek refunds of the Water Supply Charge, and the application of the statute of limitations, among other issues.

52. The Parties recognize that there exist significant risks and delays inherent in the litigation relating to the Class Action and therefore agree to the terms of this Settlement Agreement to resolve this hard-fought, highly-disputed and significant litigation in light of the risks and uncertainties faced by Plaintiff and the District.

IV.

BENEFITS OF SETTLEMENT

53. Class Counsel have fully litigated the 2021 Action through judgment. To achieve the judgment in the 2021 Action, Class Counsel investigated the law and the facts and reviewed and analyzed thousands of pages of documents on the key issues in the case, and successfully defended the Appeal. Class Counsel have taken into account, *inter alia*, the expense and length of any potential appeal in the Litigation that could be necessary to defend a successful result in the Litigation; the uncertain outcome and the risk of continued and protracted litigation and appeals; the difficulties and delays inherent in complex litigation; and the inherent uncertainty and problems of proof of, and available defenses to, the claims asserted in the Litigation. Plaintiff and Class Counsel believe that considering the foregoing, the Settlement set forth herein represents a reasonable compromise of highly disputed and uncertain legal, factual and procedural issues, confers substantial benefits upon the Class and provides a result and recovery that is certain to be provided to Class Members, when any recovery should the Litigation continue is not certain. Based on their evaluation of all of these factors, Plaintiff and Class Counsel have determined that the settlement of the Litigation, on the terms set forth herein, is in the best interests of the Class and is fair, reasonable, and adequate.

54. The District and the Defendant's Counsel have also considered applicable risks and consequences to them if Plaintiff were to prevail in the Litigation, including certifying the class and potentially prevailing on the merits of all class claims at a trial and appeal. Defendant has considered and analyzed legal, factual, and procedural defenses to the claims alleged, as well as other options. Defendant and its counsel have determined that the Settlement set forth herein provides a certain result, when the outcome, should the Litigation continue, is uncertain.

V.

PROVISIONAL CERTIFICATION OF SETTLEMENT CLASS

55. Plaintiff shall move for preliminary approval of this Settlement forthwith pursuant to California Code of Civil Procedure section 382 *et seq.* and California Rules of Court, Rule 3.769(c).

56. In the motion for preliminary approval, Plaintiff shall request that the Court make preliminary findings and enter the Preliminary Approval Order (substantially in the form attached as Exhibit C) granting provisional certification of the Settlement Class, which is subject to final findings and ratification in the Final Order and Final Judgment, and appointing the Class Representative as the representative of the Settlement Class and Class Counsel as counsel for the Settlement Class.

57. If this Agreement is terminated, disapproved by any court (including any appellate court), and/or not consummated for any reason, or the Effective Date for any reason does not occur, the order provisionally certifying the Settlement Class and all preliminary and/or final findings regarding that certification order, shall be automatically vacated upon notice of the same to the Court.

VI.

DEFENDANT'S SETTLEMENT OBLIGATIONS

58. In consideration of the entry of the Final Judgment and Final Order in the Class Action, Defendant will provide the following consideration, payment, and benefits to the Settlement Class:

59. The District warrants and represents that it has access to Class Member Information

1 and the amount of the Water Supply Charge that each Class Member paid in FY 22-23 and FY 23-
2 24.

3 **60. Distribution of the Settlement Fund.** The District shall create a Settlement Fund
4 in the amount of \$3,353,245. It shall distribute the Settlement Fund to Class Members in the
5 following manner:

6 a. First, no later than thirty (30) days after the Effective Date, it shall pay from
7 the Settlement Fund the Service Award and the Attorney's Fees and Expenses approved by the
8 Court.

9 b. Second, it shall deduct from the Settlement Fund, the District's
10 Administration Costs approved by the Court.

11 c. After the Service Award and Attorney's Fees are paid and District
12 Administration Costs are deducted from the Settlement Fund, the balance shall be the Net Settlement
13 Fund.

14 d. Third, no later than twenty-one (21) days after the Effective Date, it shall
15 confirm to Class Counsel in writing the amount of the Net Settlement Fund and provide to Class
16 Counsel a spreadsheet that identifies each Class Member's Pro Rata Refund proposed to be
17 distributed under subdivision (e) below.

18 e. Fourth, within thirty (30) calendar days after the Effective Date, the District
19 shall mail a check payable to each Class Member in the amount of the Class Member's Pro Rata
20 Refund which shall be calculated as follows: The District shall identify each Class Member's Pro
21 Rata Participation which shall be the quotient of the sum of the Class Member's FY 22-23 and 23-
22 24 Water Supply Charge payments divided by \$6,747,590. The Pro Rata Refund shall be the product
23 of the Net Settlement Fund multiplied by the Pro Rata Participation. In the case where a check is
24 returned to the District undelivered, the District shall endeavor to obtain accurate Class Member
25 Information in the same manner as the dissemination of notices described in Paragraph 68, below.
26 Such checks shall remain negotiable for one year from the date the checks are drafted.

27 **61. Uncashed Refund Checks:** One year after issuance of any refund check required by
28 this Agreement, any uncashed checks shall be voided and the remaining funds shall be remitted to

1 the United Way Monterey County for Cal-Am's Hardship Benefit Program ("United Way"). In no
2 event shall any portion of the Net Settlement Fund revert to the District. Within thirty (30) days
3 after uncashed checks are voided and funds are remitted to the United Way, the District shall provide
4 a statement to Class Counsel, verified under penalty of perjury, affirming (i) the number of checks
5 that went uncashed; (ii) the cumulative dollar amount of the uncashed checks; and (iii) the amount
6 of money remitted to the United Way and date of remittance.

7 **62. Accounting and Verification:** Within ninety (90) calendar days after the refunds
8 are distributed, the District shall provide a statement to Class Counsel affirming (i) the date that it
9 mailed checks representing each Class Member's Share and (ii) the gross amount distributed.

10 **63. Agreement to Forbear:** The District agrees to forbear until June 30, 2026, imposing,
11 levying or collecting any fees or charges that are subject to the procedures and restrictions provided
12 in Proposition 218 unless that fee or charge existed as of February 5, 2025. Should the District
13 determine that it cannot reasonably forbear due to an emergency or unforeseen event, the District
14 will pay to Class Members a second payment by July 31, 2026. The second payment shall be made
15 pursuant to the provisions of Paragraph 60, but the Net Settlement Fund shall be the lesser of the
16 amount the District newly imposes, levies, or collects during the Forbearance Period or \$3,400,000.
17 The Parties agree that the second payment, if necessary, is an alternative means of performance.

18 **64. Liquidated Damages:** Should any court determine that the second payment as
19 described in Paragraph 63 is not an alternative means of performance, but instead, the District's
20 decision to not forbear is a breach of this Settlement Agreement, the Parties seek now to determine
21 a reasonable value of such breach, which is a genuine attempt to estimate the damages that would
22 flow therefrom and is not intended to be punitive. The Parties agree that a reasonable estimate of
23 the damages that would flow therefrom is the amount that the District newly imposes, levies, or
24 collects via any fees or charges subject to the procedures and restrictions provided in Proposition
25 218 prior to June 30, 2026, but not to exceed \$3,400,000.

26 **65. Mutual Cooperation to Ensure Full Distribution of Net Settlement Fund:** The
27 Parties shall act in good faith to employ the foregoing procedures to ensure that the full refund due
28 to each Class Member is paid to the benefit of each Class Member. In the event of any unexpected

1 complications or events impacting the distribution of the Net Settlement Fund to Class Members,
2 the Parties shall fully and reasonably cooperate to ensure that all Net Settlement Funds are
3 distributed to Class Members on a timely basis.

4 **VII.**

5 **CONDITION FOR SETTLEMENT**

6 66. This Settlement and all obligations herein are fully conditioned on Petitioners filing
7 a request for dismissal of the Validation Actions with prejudice within five (5) court days after the
8 date this Settlement Agreement is fully executed.

9 **VIII.**

10 **NOTICE OF SETTLEMENT**

11 The Parties agree that notice of the Settlement will be provided under the terms stated
12 herein.

13 67. Class Notice will be disseminated through a combination of the Summary Notice
14 (substantially in the form of Exhibit B attached hereto), notice through the Settlement Website in
15 the form of the Long Form Notice (substantially in the form of Exhibit A attached hereto), and other
16 applicable notice as ordered by the Court, in order to comply with all applicable laws, including, but
17 not limited to, California Code of Civil Procedure Section 38 *et seq.*, the Due Process Clause of the
18 United States Constitution, and any other applicable statute, law or rule.

19 **68. Dissemination of the Class Notice**

20 a. *Class Member Information:* No later than fifteen (15) calendar days after
21 entry of the Preliminary Approval Order, the District shall gather the Class Member Information.
22 The District warrants and represents that it will endeavor to obtain the most current Class Member
23 Information for all Class Members.

24 b. *Direct Notice Via U.S. Mail:* Within forty-five (45) days, or as otherwise
25 ordered by the Court, after the entry of the Preliminary Approval Order and subject to the
26 requirements of this Settlement and the Preliminary Approval Order, the District, shall provide
27 notice to the Class as follows: The District shall send the Summary Notice by First Class U.S. Mail,
28 proper postage prepaid, to each Class Member. Prior to the transmission of any Summary Notice

1 via the U.S. Mail, the District shall update the mailing address of each Class Member using the
2 United States Postal Service's National Change of Address System. Summary Notice will be mailed
3 to the updated addresses. In the event a Class Member's Summary Notice is returned by the United
4 States Postal Service with a forwarding address, the District shall re-mail the Summary Notice once
5 to such Class Members at the forwarding address.

6 c. *Settlement Website*: Prior to the Notice Date, the Plaintiff shall establish the
7 Settlement Website. The Settlement Website shall include, in .pdf format, the following: (i) the
8 Long Form Notice; (ii) the Preliminary Approval Order; (iii) this Agreement (including all of its
9 Exhibits); (iv) contact information, including at least one telephone number for Class Counsel; and
10 (v) any other materials agreed upon by the Parties and/or required by the Court. The Settlement
11 Website may also have a section for frequently asked questions. The District shall have the right to
12 review and consent to the form of the publicly available frequently asked questions and answers
13 section, consent for which shall not be unreasonably withheld.

14 69. Not later than seven (7) days before the date of the Fairness Hearing, the District and
15 Plaintiff's Counsel shall submit declarations to the Court outlining their respective efforts to comply
16 with these notice requirements.

17 70. The Parties agree that the notice contemplated by this Settlement is valid and
18 effective, that if effectuated, it would provide reasonable notice to the Settlement Class, and that it
19 represents the best practicable notice under the circumstances.

20 IX.

21 ADMINISTRATION OF THE SETTLEMENT

22 71. The Parties agree to work together to administer and effectuate the Settlement.

23 72. The District shall be responsible for printing and disseminating the Summary Notice
24 as described in Paragraph 68 and for distributing the Settlement Fund as stated in Paragraph 60.
25 The District shall submit a declaration with the motion for preliminary approval that identifies the
26 estimated amount, and an explanation, of the costs of such administrative work.

27 73. Class Counsel shall be responsible for: (i) responding to requests for the Long Form
28 from Class Members; (ii) receiving and maintaining on behalf of the Court any correspondence with

1 Class Members regarding requests for exclusion and/or objections to the Settlement; and (iii)
2 maintaining telephone number, voicemail and electronic mailboxes, as necessary, for the receipt of
3 any correspondence from Class Members.

4 74. At the same time that Class Counsel files the motion for Final Approval of Settlement
5 and Attorneys' Fees and Expenses and Service Awards, Class Counsel shall file with the Court a
6 declaration: (i) attaching a list of those persons who timely opted out or excluded themselves from
7 the Settlement Class; (ii) attaching a list of those persons who timely objected to the Settlement,
8 along with a copy of their written objections; and (iii) any response to those objections from Class
9 Counsel and/or Plaintiff.

10 **X.**

11 **REQUESTS FOR EXCLUSION**

12 75. Any Class Member who wishes to be excluded from the Settlement Class must do
13 one of the following: (1) mail a written request for exclusion to Class Counsel at the address
14 provided in the Notice, postmarked by the Exclusion Deadline; or (2) send a written request for
15 exclusion to Class Counsel at the e-mail address provided in the Long Form Notice on or before
16 11:59 p.m. Pacific Time on the Exclusion Deadline. Except as otherwise ordered by the Court, the
17 request must (a) state the Class Member's name and address; (b) reference *Heuer v. Monterey*
18 *Peninsula Water Management District*; and (c) clearly state that the Class Member wants to be
19 excluded from the Settlement Class. If a potential Class Member files a request for exclusion, he or
20 she may not file an objection under Paragraphs 77 through 80 herein. If any Class Member files a
21 timely request for exclusion, he/she will not be a member of the Settlement Class, will not release
22 any Released Claims pursuant to this Settlement or be subject to the Release, and will preserve all
23 claims he or she may have.

24 76. Any potential Settlement Class Member who does not file a timely written request
25 for exclusion as provided in Paragraph 75 herein shall be bound by all subsequent proceedings,
26 orders and judgments, including, but not limited to, the Release and the Final Order and Final
27 Judgment in the Action.

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XI.

OBJECTIONS TO THE SETTLEMENT

77. Any eligible Class Member who has not requested to be excluded who wishes to object to the fairness, reasonableness, or adequacy of this Agreement, or to the award of Attorneys' Fees and Expenses, or to the Service Awards to the Class Representative, must do one of the following: (1) mail an objection to Class Counsel at the address provided in the Notice, postmarked by the Objection Deadline or (2) e-mail an objection to Class Counsel at the e-mail address provided in the Notice, on or before 11:59 p.m. Pacific Time on the Objection Deadline. Any such objection shall include: (1) the full name of Objector; (2) the current address of Objector; (3) the property address that was subject to the Water Supply Charge; (4) the specific reason(s), if any, for the objection, including any legal support the Class Member wishes to bring to the Court's attention; (5) copies of any evidence or other information the Class Member wishes to introduce in support of the objections; (6) a statement of whether the Class Member intends to appear and argue at the Fairness Hearing; (7) the individual Class Member's written signature, with date; and (8) a reference to *Heuer v. Monterey Peninsula Water Management District* on the envelope and written objection or in the subject line of the e-mail. Class Members may personally object or object through an attorney retained at their own expense. The objection must also include an explanation of why he or she falls within the definition of the Class. In addition, any Class Member objecting to the Settlement shall provide a list of all other objections submitted by the objector, or the objector's counsel, to any class action settlements submitted in any state or federal court in the United States in the previous five years. If the Class Member, or his, her or its counsel, has not objected to any other class action settlement in the United States in the previous five years, he, she or it shall affirmatively so state in the objection. Class Members who submit an objection may be subject to discovery, including written discovery and depositions, on whether he or she is a class member, and any other topic that the Court deems appropriate.

78. Any eligible Class Member may appear at the Fairness Hearing, whether or not they have submitted a written objection, either in person or through personal counsel hired at the Class Member's own expense, to object to the fairness, reasonableness, or adequacy of this Agreement or

1 the proposed Settlement, or to the award of Attorneys' Fees and Expenses, or Service Awards to the
2 individual Plaintiffs and/or the Class Representatives.

3 79. Plaintiff designated as Class Representative by the Court maintains his right to
4 support or object to the Settlement terms and may petition the Court for a Service Award, which is
5 not guaranteed in any amount, but awarded, if at all, by the Court in its discretion.

6 80. Any Class Member (including any Plaintiff or Class Representative) who objects to
7 the Settlement shall be entitled to all benefits of the Settlement if this Agreement and the terms
8 contained herein are approved, as long as the objecting Class Member complies with all
9 requirements of this Agreement applicable to Class Members.

10 XII.

11 RELEASE AND WAIVER

12 81. The Parties agree to the following release and waiver, which shall take effect upon
13 the Effective Date.

14 82. In consideration for the Settlement, Plaintiff, Class Representative, and each Class
15 Member, on behalf of themselves and any other legal or natural persons who may claim by, through
16 or under them, agree to fully, finally and forever release, relinquish, acquit, discharge and hold
17 harmless the Released Parties from any and all claims, demands, suits, petitions, liabilities, causes
18 of action, rights, and damages of any kind and/or type relating to the subject matter of the Litigation
19 arising during the period between December 1, 2022 through the date the date the Court enters
20 Preliminary Approval Order including, but not limited to, compensatory, exemplary, punitive,
21 expert, and/or attorneys' fees, or by multipliers, whether past, present, or future, mature, or not yet
22 mature, known or unknown, suspected or unsuspected, contingent or non-contingent, derivative or
23 direct, asserted or unasserted, whether based on federal, state or local law, statute, ordinance,
24 regulation, code, contract, common law, or any other source, or any claim of any kind related, arising
25 from, connected with, and/or in any way involving the Litigation, including, but not limited to,
26 claims regarding payments made to the District pursuant to the District's Ordinance No. 152.

27 83. Plaintiff, Class Representative, and each Class Member, on behalf of themselves and
28 any other legal or natural persons who may claim by, through or under them, expressly waive any

1 and all rights and benefits conferred by the provisions of Section 1542 of the California Civil Code,
2 and expressly consent that this Agreement shall be given full force and effect according to each and
3 all of its express terms and provisions, including those relating to unknown and unsuspected claims,
4 if any, from the facts alleged in the Litigation. Section 1542 provides:

5 “A general release does not extend to claims which the creditor does not know or
6 suspect to exist in his or her favor at the time of executing the release, which if
7 known by him or her must have materially affected his or her settlement with the
8 debtor.”

9 Thus, notwithstanding California Civil Code Section 1542, Plaintiff, Class Representative,
10 and each Class Member, expressly acknowledges and agree that the releases in this Agreement are
11 also intended to include claims which they do not know or suspect to exist at the time of the
12 execution of this Agreement that arise from the facts alleged in the Litigation.

13 84. Notwithstanding the release in Paragraph 82, any Class Member who timely opted
14 out of the Settlement Class, shall not be deemed to release any claims, rights or other causes of
15 action, with respect to the Litigation or otherwise.

16 85. Plaintiff, Class Members and the Class Representative expressly agree that this
17 Release, the Final Order, and/or the Final Judgment are, will be, and may be raised as a complete
18 defense to, and will preclude any action or proceeding encompassed by, this Release.

19 86. Plaintiff, Class Members and the Class Representative shall not, now or hereafter,
20 institute, maintain, prosecute, and/or assert, any suit, action, and/or proceeding, against the Released
21 Parties, either directly or indirectly, on their own behalf, on behalf of a class or on behalf of any
22 other person or entity with respect to the claims, causes of action and/or any other matters released
23 through this Settlement.

24 87. In consideration for the Settlement, the District and its past or present officers,
25 directors, council members, employees, agents, attorneys, predecessors, successors, affiliates,
26 subsidiaries, divisions, and assigns shall be deemed to have, and by operation of the Final Approval
27 Order shall have, released Plaintiff, Class Counsel, Class Representative and each Class Member
28 from any and all causes of action that were or could have been asserted pertaining solely to the

conduct in filing and prosecuting the Litigation or in settling the Litigation.

88. To avoid doubt, nothing in this Release shall release or otherwise relieve any Party of any of the terms or obligations set forth in this Settlement Agreement or preclude any action to enforce the terms of the Agreement, including participation in any of the processes detailed herein. Any motion or proceeding to enforce the terms of the Settlement Agreement, in whole or in part, shall be before the Court, which shall retain jurisdiction over the matter for such purposes. Moreover, the Court retains jurisdiction to adjudicate any dispute between the Parties regarding the terms and conditions of this Agreement.

89. Plaintiff, Class Representative and Class Counsel hereby agree and acknowledge that the provisions of this Release together constitute an essential and material term of the Agreement and shall be included in any Final Order and Final Judgment entered by the Court.

XIII.

REVIEW, APPROVAL AND RELATED ORDERS

90. As soon as practicable following the full execution of this Settlement Agreement, Class Counsel shall apply to the Court for entry of the Preliminary Approval Order (substantially in the form attached as Exhibit C), for the purpose of, among other things:

a. Approving the Class Notice, substantially in the form set forth at Exhibits (Long Form Notice, Exhibit A) and (Summary Notice, Exhibit B) attached hereto;

b. Finding that the requirements for provisional certification of the Settlement Class have been satisfied, appointing Plaintiff as the representatives of the Class and Class Counsel as counsel for the Class, and preliminarily approving the Settlement as being within the range of reasonableness such that the Class Notice should be provided pursuant to this Agreement;

c. Scheduling the Fairness Hearing on a date ordered by the Court, provided in the Preliminary Approval Order, and in compliance with applicable law, to determine whether the Settlement should be approved as fair, reasonable, and adequate, and to determine whether a Final Order and Final Judgment should be entered;

d. Determining that the notice of the Settlement and of the Fairness Hearing, as set forth in this Agreement, complies with all legal requirements, including, but not limited to, the

1 Due Process Clause of the United States Constitution;

2 e. Preliminarily approving the form of the Final Order and Final Judgment;

3 f. Directing that Class Notice shall be given to the Settlement Class as provided
4 in Paragraph 68 herein;

5 g. Providing that any objections by any Class Member to the certification of the
6 Settlement Class and the proposed Settlement contained in this Agreement, and/or the entry of the
7 Final Order and Final Judgment, shall be heard and any papers submitted in support of said
8 objections shall be considered by the Court at the Fairness Hearing only if, on or before the date(s)
9 specified in the Class Notice and Preliminary Approval Order, such objector submits to the Court a
10 written objection, and otherwise complies with the requirements in Paragraphs 77 through 80 herein;

11 h. Establishing dates by which the Parties shall file and serve all papers in
12 support of the application for final approval of the Settlement and in response to any valid and timely
13 objections;

14 i. Providing that all Class Members will be bound by the Final Order and Final
15 Judgment unless such Class Members timely file valid written requests for exclusion or opt out in
16 accordance with this Settlement and the Class Notice;

17 j. Providing that Class Members wishing to exclude themselves from the
18 Settlement will have until the date specified in the Class Notice and the Preliminary Approval Order
19 to submit a valid written request for exclusion or opt out;

20 k. Providing a procedure for Class Members to request exclusion or opt out from
21 the Settlement;

22 l. Directing the Parties, pursuant to the terms and conditions of this Agreement,
23 to take all necessary and appropriate steps to establish the means necessary to implement the
24 Settlement;

25 m. Authorizing the Parties and Class Counsel to take all necessary and
26 appropriate steps to establish the means necessary to implement the Agreement;

27 n. Adopting all deadlines set forth herein a description of which are attached
28 hereto as Exhibit E; and

1 o. Issuing other related orders to effectuate the preliminary approval of the
2 Agreement.

3 91. Following the entry of the Preliminary Approval Order, Class Notice shall be given
4 in the manner directed and approved by the Court.

5 92. Any motion or petition in support of final approval of this Settlement shall be filed
6 no earlier than seventy-five (75) days after the mailing of Notice pursuant to Paragraph 68, and at
7 least sixteen (16) Court days before the Final Fairness Hearing, and be made available on the
8 Settlement Website.

9 93. At the Fairness Hearing, the Parties shall seek to obtain from the Court a Final Order
10 and Final Judgment. The Final Order and Final Judgment shall, among other things:

11 a. Enter judgment for Plaintiff and the Settlement Class on all claims in the
12 Litigation consistent with the terms of this Settlement Agreement;

13 b. Find that the Court has jurisdiction over Plaintiff and all Class Members and
14 that venue is proper;

15 c. Finally approve the Agreement and Settlement, pursuant to California Code
16 of Civil Procedure Sections 382 *et seq.*, as fair, adequate and reasonable to the Class;

17 d. Finally certify the Class for settlement purposes only pursuant to California
18 Code of Civil Procedure Section 382 *et seq.* and appoint Plaintiff as Class Representative and Class
19 Counsel as counsel for the Class;

20 e. Find that the Class Notice and the Notice Plan comply with all laws,
21 including, but not limited to, the Due Process Clause of the United States Constitution;

22 f. Preserve all claims of persons not within the Settlement Class definition as
23 well as those who have timely excluded themselves from the Settlement Class;

24 g. Adjudicate any objections that have been presented to the Settlement;

25 h. Incorporate the Release set forth in the Agreement and make the Release
26 effective as of the date of the Final Order and Final Judgment;

27 i. Award a Service Award and Attorneys' Fees and Expenses in amounts
28 deemed fair, adequate and reasonable in the circumstances;

j. Authorize the Parties to implement the terms of the Agreement;

k. Retain jurisdiction relating to the administration, consummation, enforcement, and interpretation of the Agreement, the Final Order and Final Judgment, and for any other necessary purpose; and,

1. Issue related orders necessary to effectuate the final approval of the Agreement and its implementation.

94. To avoid any doubt, if the Settlement Agreement is not finally approved or the Effective Date does not occur for any reason, this Agreement shall terminate and the Litigation shall return to the procedural status quo ante and the Parties retain all rights, arguments and objections they have regarding the Litigation.

XIV.

MODIFICATION OR TERMINATION OF THIS AGREEMENT

95. The terms and provisions of this Agreement may be amended, modified, or expanded by written agreement of the Parties and approval of the Court; provided, however, that after entry of the Final Order and Final Judgment, the Parties may by written agreement effect such amendments, modifications, or expansions of this Agreement and its implementing documents (including all exhibits attached hereto) without further notice to the Class or approval by the Court if such changes are consistent with the Court's Final Order and Final Judgment and do not limit the rights of Class Members under this Agreement.

XV.

SERVICE AWARDS AND ATTORNEYS' FEES AND EXPENSES

96. In recognition of the time and effort the representative Plaintiff expended in pursuing this action and in fulfilling his obligations and responsibilities as class representative, and of the benefits conferred on all Class Members by the Settlement, Class Counsel may ask the Court for the payment of a Service Award from the Settlement Fund to the Class Representative. The District will not take a position on the application for Service Award by Class Counsel to the extent that the award requested does not exceed Five Thousand Dollars and No Cents (\$5,000.00). Class Counsel may apply to the Court for a Service Award to be paid from the Settlement Fund for the Class

1 Representative's time, effort and risk in connection with the Litigation and related litigation. No
2 amount has been guaranteed or promised to the Class Representative. The Court shall determine
3 the final amount of any such Service Award, in its discretion, based on the request filed by or on
4 behalf of the Class Representative.

5 97. The ability of the Class Representative to apply to the Court for a Service Award is
6 not conditioned on his support of the Settlement.

7 98. The amount of the Service Award payment to be applied for as set forth herein was
8 negotiated independently from the other terms of the Settlement. Further, the allowance or
9 disallowance by the Court of a Service Award will be considered and determined by the Court
10 separately from the Court's consideration and determination of the fairness, reasonableness, and
11 adequacy of the Settlement.

12 99. Class Counsel will make an application to the Court for an award of Attorneys' Fees
13 and Expenses at least sixteen (16) Court Days prior to the Fairness Hearing. The amount of the
14 Attorneys' Fees and Expenses to be awarded will be determined by the Court.

15 100. Any Service Award and any Attorneys' Fees and Expenses awarded by the Court
16 shall be paid at the same time as the Distribution of the Settlement Fund described in Paragraph 60
17 above. Class Counsel shall have the sole and absolute discretion to allocate the Attorneys' Fees and
18 Expenses amongst Class Counsel and any other attorneys for Plaintiff, including Plaintiff's Counsel.
19 The District shall have no liability or other responsibility for allocation of any such Attorneys' Fees
20 and Expenses awarded.

21 101. The procedure for and the allowance or disallowance by the Court of any application
22 for attorneys' fees, costs, expenses, or reimbursement to be paid to Class Counsel are not part of the
23 settlement of the Released Claims as set forth in this Settlement Agreement, and are to be considered
24 by the Court separately from the Court's consideration of the fairness, reasonableness, and adequacy
25 of the settlement of the Released Claims as set forth in this Settlement Agreement. Any such separate
26 order, finding, ruling, holding, or proceeding relating to any such applications for attorneys' fees
27 and expenses, or any separate appeal from any separate order, finding, ruling, holding, or proceeding
28 relating to them or reversal or modification of them, shall not operate to terminate or cancel this

1 Settlement Agreement or otherwise affect or delay the finality of the Final Order and Final Judgment
2 or the Settlement.

3 102. Any petition for Attorneys' Fees and Expenses or for a Class Representative Service
4 Award shall be filed at least sixteen (16) Court days before the Final Fairness Hearing and made
5 available for viewing and download on the Settlement Website. Updated or supplemental petition(s)
6 by those making initial timely petitions only, limited to reporting new and additional professional
7 time and expenses incurred in relation to the Settlement and claims administration process after the
8 filing of the initial petition, shall be permitted to be filed after that date to ensure that the new
9 professional time, costs and expenses on a going-forward basis in the Litigation are fairly accounted
10 for by the Court and remain compensable, subject to the Court's approval.

11 103. Other than as provided in this Agreement, each Party shall bear its own attorney's
12 fees and costs related to the Litigation and the Validation Actions.

13 **XVI.**

14 **GENERAL MATTERS AND RESERVATIONS**

15 104. Class Counsel shall take all necessary actions to accomplish approval of the
16 Settlement, the Class Notice, and entry of the Final Order and Final Judgment. The Parties
17 (including their counsel, successors, and assigns) agree to cooperate fully and in good faith with one
18 another and to use their best efforts to effectuate the Settlement, including without limitation in
19 seeking preliminary and final Court approval of this Agreement and the Settlement embodied herein,
20 carrying out the terms of this Agreement, and promptly agreeing upon and executing all such other
21 documentation as may be reasonably required to obtain final approval by the Court of the
22 Settlement. In the event that the Court fails to issue a Preliminary Approval Order, approve the
23 Settlement, or issue the Final Order and Final Judgment, the Parties agree to use all reasonable
24 efforts, consistent with this Settlement Agreement to cure any defect identified by the Court.

25 105. All Class Members have the right to enter an appearance in the Litigation through
26 their own counsel of choice, at their own expense. If they do not enter an appearance through their
27 own counsel, they will be represented by Class Counsel, who will support the Settlement and argue
28 in favor of its approval by the Court.

1 106. Plaintiff represents that he: (1) has agreed to serve as the representative of the Class
2 proposed to be certified herein; (2) is willing, able, and ready to perform all of the duties and
3 obligations of a representative of the Class, including, but not limited to, being involved in discovery
4 and fact finding; (3) has read the relevant pleadings in the Litigation, or has had the contents of such
5 pleadings described to him; (4) is generally familiar with the results of the fact-finding undertaken
6 by Plaintiff's Counsel; (5) has been kept apprised of settlement negotiations among the Parties, and
7 has either read this Agreement, including the exhibits annexed hereto, or has received an adequate
8 description of it from Plaintiff's Counsel, and has agreed to its terms; (6) has consulted with
9 Plaintiff's Counsel about the Litigation and this Agreement and the obligations imposed on
10 representatives of the Class; and (7) shall remain and serve as the representative of the Class until
11 the terms of this Agreement are effectuated, this Agreement is terminated in accordance with its
12 terms, or the Court at any time determines that Plaintiff cannot represent the Class.

13 107. Without affecting the finality of the Final Order and Final Judgment in any way and
14 even after the Effective Date, pursuant to Code of Civil Procedure section 664.6, the Court shall
15 retain continuing jurisdiction over (a) implementation of the Settlement; and (b) the Parties for the
16 purpose of enforcing and administering this Agreement.

17 108. The Parties acknowledge and agree that no opinion concerning the tax consequences
18 of the proposed Settlement to Class Members is given or will be given by the Parties, nor are any
19 representations or warranties in this regard made by virtue of this Agreement. Each Class Member's
20 tax obligations, and the determination thereof, are the sole responsibility of the Class Member, and
21 it is understood that the tax consequences may vary depending on the particular circumstances of
22 each individual Class Member.

23 109. The District represents and warrants that the individual(s) executing this Agreement
24 is/are authorized to enter into this Agreement on behalf of the District and to bind the District to the
25 terms, conditions, and obligations of this Agreement. The District represents and warrants that the
26 execution and delivery of this Agreement and the performance of such party's obligations hereunder
27 have been duly authorized and that the Agreement is a valid and legal agreement binding on the
28 District and enforceable in accordance with its terms.

1 110. This Agreement, complete with its exhibits, sets forth the sole and entire agreement
2 among the Parties with respect to its subject matter, and it may not be altered, amended, or modified
3 except by written instrument of the Parties. The Parties expressly acknowledge that no other
4 agreements, arrangements, or understandings not expressed in this Agreement exist among or
5 between them, and that in deciding to enter into this Agreement, they rely solely upon their judgment
6 and knowledge. This Agreement supersedes any prior agreements, understandings, or undertakings
7 (written or oral) by and between the Parties regarding the subject matter of this Agreement.

8 111. In the event that any of the benefits and/or obligations are implemented or completed
9 prior to the Effective Date, the Parties expressly agree and hereby acknowledge that said benefits
10 and/or obligations are a result of arm's-length negotiation and settlement of this Litigation.

11 112. This Agreement and any amendments thereto shall be governed by and interpreted
12 according to the law of the State of California notwithstanding any conflict of laws issues and that
13 the Parties are deemed to be jointly the drafters of this Settlement Agreement.

14 113. Any disagreement and/or action to enforce this Agreement shall be commenced and
15 maintained only in the Superior Court of the State of California for the County of Monterey.

16 114. The Parties agree that the recitals are contractual in nature and form a material part
17 of this Settlement Agreement.

18 115. Whenever this Agreement requires or contemplates that one of the Parties shall or
19 may give notice to the other, notice shall be provided by e-mail and/or next-day (excluding
20 Saturdays, Sundays and Federal Holidays) express delivery service as follows:

21 Upon Class Counsel:
22 **KEARNEY LITTLEFIELD, LLP**
23 Prescott W. Littlefield, Esq.
24 655 N. Central Ave, 17th Floor
25 Glendale, CA 91203
26 Tel: (213) 473-1900; Fax: (213) 473-1919
27 E-mail: pwl@kearneylittlefield.com
28

1 Upon Defense Counsel:

2 **COLANTUONO, HIGHSMITH & WHATLEY, PC**

3 Michael G. Colantuono, Esq.

4 420 Sierra College Drive, Suite 140

5 Grass Valley, CA 95945-5091

6 Tel: (530) 432-7357; Fax: (530) 432-7356

7 E-mail: mcolantuono@chwlaw.us

8 116. All time periods set forth herein shall be computed in calendar days unless otherwise
9 expressly provided. In computing any period of time prescribed or allowed by this Agreement or by
10 order of the Court, the day of the act, event, or default from which the designated period of time
11 begins to run shall not be included. The last day of the period so computed shall be included, unless
12 it is a Saturday, a Sunday, or any holiday observed by the court.

13 117. The Parties reserve the right, subject to the Court's approval, to agree to any
14 reasonable extensions of time that might be necessary to carry out any of the provisions of this
15 Agreement.

16 118. The Class, Plaintiff, Plaintiff's Counsel, District and/or District's Counsel shall not
17 be deemed to be the drafter of this Agreement or of any particular provision, nor shall they argue
18 that any particular provision should be construed against its drafter. All Parties agree that this
19 Agreement was drafted by counsel for the Parties during extensive arm's-length negotiations. No
20 parol or other evidence may be offered to explain, construe, contradict, or clarify its terms, the intent
21 of the Parties or their counsel, or the circumstances under which this Agreement was made or
22 executed.

23 119. The Parties expressly acknowledge and agree that this Agreement and its exhibits,
24 along with all related drafts, motions, pleadings, conversations, negotiations, and correspondence,
25 constitute an offer of compromise and a compromise within the meaning of California Evidence
26 Code section 1152. In no event shall this Agreement, any of its provisions or any negotiations,
27 statements or court proceedings relating to its provisions in any way be construed as, offered as,
28 received as, used as, or deemed to be evidence of any kind in the Litigation, any other action, or in
any judicial, administrative, regulatory or other proceeding, except in a proceeding to enforce this
Agreement or the rights of the Parties or their counsel. Without limiting the foregoing, neither this

1 Agreement nor any related negotiations, statements, or court proceedings shall be construed as,
2 offered as, received as, used as, or deemed to be evidence of, an admission or concession of any
3 liability or wrongdoing whatsoever on the part of any person or entity, including, but not limited to,
4 the Released Parties, Plaintiff, or the Class or as a waiver by the Released Parties, Plaintiff or the
5 Class of any applicable privileges, claims or defenses.

6 120. Plaintiff expressly affirms that the allegations contained in the complaint filed were
7 made in good faith, but considers it desirable for the Litigation to be settled because of the substantial
8 benefits that the proposed Settlement will provide to Class Members.

9 121. The Parties, their successors and assigns, and their counsel undertake to implement
10 the terms of this Agreement in good faith, and to use good faith in resolving any disputes that may
11 arise in the implementation of the terms of this Agreement.

12 122. The waiver by one Party of any breach of this Agreement by another Party shall not
13 be deemed a waiver of any prior or subsequent breach of this Agreement.

14 123. If one Party to this Agreement considers another Party to be in breach of its
15 obligations under this Agreement, that Party must provide the breaching Party with written notice
16 of the alleged breach and provide a reasonable opportunity to cure the breach before taking any
17 action to enforce any rights under this Agreement.

18 124. The Parties, their successors and assigns, and their counsel agree to cooperate fully
19 with one another in seeking Court approval of this Agreement and to use their best efforts to effect
20 the prompt consummation of this Agreement and the proposed Settlement.

21 125. This Agreement may be signed with a facsimile or PDF signature, or other form of
22 electronic signature and in counterparts, each of which shall constitute a duplicate original.

23 126. The terms “he” or “she” and “his” or “her” include “it” or “its” where applicable.

24 127. In the event any one or more of the provisions contained in this Agreement shall for
25 any reason be held to be invalid, illegal, or unenforceable in any respect, such invalidity, illegality,
26 or unenforceability shall not affect any other provision if District’s Counsel, on behalf of the District,
27 and Plaintiff’s Counsel, on behalf of Plaintiff and Class Members, mutually agree in writing to
28 proceed as if such invalid, illegal, or unenforceable provision had never been included in this

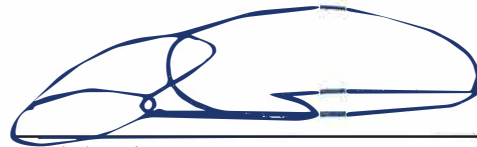
1 Agreement. Any such agreement shall be reviewed and approved by the Court before it becomes
2 effective.

3 *[signature pages to follow]*
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1 **IN WITNESS WHEREOF**, the Parties hereto, by and through their respective attorneys,
2 and intending to be legally bound hereby, have duly executed this Class Action Settlement
3 Agreement and Stipulation as of the date set forth below.

4
5 **PLAINTIFF**

6
7
8 Dated: 4/21/25



Richards J. Heuer III
Plaintiff/Class Representative

10
11 **MONTEREY PENINSULA WATER MANAGEMENT DISTRICT**

12
13 Dated: _____

MONTEREY PENINSULA WATER
MANAGEMENT DISTRICT
By:

16
17 **CLASS COUNSEL**

18
19 Dated: 4/22/25

Prescott W. Littlefield
By: Prescott W. Littlefield
KEARNEY LITTLEFIELD, LLP
Attorneys for Plaintiff and the Class

20
21
22
23 Dated: 4/22/25

Eric J. Benink
By: Eric J. Benink
BENINK & SLAVENS, LLP
Attorneys for Plaintiffs and the Class

1 **IN WITNESS WHEREOF**, the Parties hereto, by and through their respective attorneys,
2 and intending to be legally bound hereby, have duly executed this Class Action Settlement
3 Agreement and Stipulation as of the date set forth below.

4
5 **PLAINTIFF**

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7
8 Dated: _____

Richards J. Heuer III
Plaintiff/Class Representative

10
11 **MONTEREY PENINSULA WATER MANAGEMENT DISTRICT**

12
13 Dated: April 21, 2025



MONTEREY PENINSULA WATER
MANAGEMENT DISTRICT
By: David J. Stoldt

14
15
16
17 **CLASS COUNSEL**

18
19 Dated: _____

By: Prescott W. Littlefield
KEARNEY LITTLEFIELD, LLP
Attorneys for Plaintiff and the Class

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21
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23 Dated: _____

By: Eric J. Benink
BENINK & SLAVENS, LLP
Attorneys for Plaintiffs and the Class

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DEFENSE COUNSEL

Dated: 4/21/25

Matthew Slentz
By: Michael G. Colantuono
Mathew C. Slentz
**COLANTUONO, HIGHSMITH &
WHATLEY, PC**
Attorneys for Defendant and Respondent Monterey Peninsula
Water Management District

EXHIBIT A

The Superior Court of California for the County of Monterey
Authorized this Notice

NOTICE OF CLASS ACTION SETTLEMENT

Heuer III v. Monterey Peninsula Water Management District,
Case No. 24CV002642

IF YOU OWNED PROPERTY IN THE COUNTY OF MONTEREY AND PAID A WATER SUPPLY CHARGE IMPOSED BY THE MONTEREY PENINSULA WATER MANAGEMENT DISTRICT THROUGH YOUR PROPERTY TAX BILL BETWEEN DECEMBER 1, 2022 AND [DATE OF PRELIMINARY APPROVAL], A LEGAL SETTLEMENT WILL AFFECT YOUR RIGHTS

A court authorized this notice. This is not a solicitation from a lawyer.

Please Read This Notice Carefully – Your Legal Rights are Affected
Even if You Do Not Act

Richards J. Heuer III (hereafter, “Plaintiff”), a water customer within the jurisdiction of the Monterey Peninsula Water Management District (the “District”), has sued the District on behalf of himself and all others similarly situated, claiming that, during Fiscal Years 2022-2023 and 2023-2024, the District violated the law by collecting a water supply charge authorized by District Ordinance No. 152 (the “Water Supply Charge”) without offsetting the amounts collected by a user fee imposed by the District and collected through California-American Water Company (the “User Fee”).

The parties have settled this case without the District admitting fault. The District has agreed to create a Settlement Fund in the gross amount of \$3,353,245 that, after attorney’s fees, a service award and expenses are deducted, will be refunded directly to a settlement class identified as: All County of Monterey property owners who paid the Water Supply Charge authorized and established by Monterey Peninsula Water Management District Ordinance No. 152 during the Class Period. The Class Period is December 1, 2022 through [Date of Preliminary Approval]. The Water Supply Charge was included on and collected through property tax bills.

In addition, the District intended to begin a Proposition 218 process to implement a new fee to replace the Water Supply Charge that would have been imposed beginning Fiscal Year 2025-2026. As part of the settlement, the District has

agreed not to impose any new Proposition 218 fees until at least Fiscal Year 2026-2027.

**PLEASE DO NOT TELEPHONE THE COURT OR THE
DISTRICT'S COUNSEL FOR INFORMATION
REGARDING THIS SETTLEMENT.**

You must now decide whether you wish to remain in the Settlement Class (with the option of being heard on the attorney's fees/costs/service award motions) or be excluded from the Class.

YOUR LEGAL RIGHTS AND OPTIONS	
You Can <u>Do Nothing</u> and Remain in the Settlement Class	<p>You may choose to do nothing and stay in the Settlement Class. If you stay in the Settlement Class, you will receive your share of the class recovery. However, you will give up any right to file your own lawsuit against the District on the legal issues in this case.</p> <p>No action is required to remain in the Class.</p>
You May <u>Opt Out</u> – Exclude Yourself from the Settlement Class	<p>You may opt out of the Settlement Class. If you do, you will not share in the settlement, but you will be free to pursue your own claims against the District, subject to defenses the District may raise against you, including statute of limitations (timeliness) defenses. If you are considering opting out to pursue your own suit against the District, you should consult a lawyer of your choosing, at your own cost.</p> <p>To exclude yourself from the Class, you must send a <u>Request to Be Excluded from the Class</u> to Class Counsel no later than XXXXXXXXX, 2025. For more information, see section 14 of this Notice.</p>

<p>If You Do Not Opt Out of the Settlement Class, You May <u>Object</u> to Any or All of the Settlement Terms by Submitting an Objection to Class Counsel</p>	<p>If you do not opt out of the Settlement Class, you have the right to object to any or all terms of the Settlement and appear at the Fairness Hearing scheduled on [REDACTED], 2025. If you object and the Settlement still becomes final, you will still receive the benefits of the Settlement and be bound by the terms of the Settlement including the general release set forth therein.</p> <p>To object to the Settlement, you must submit written objections to Class Counsel no later than [REDACTED], 2025. For more information, see section 14 of this Notice.</p>
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BASIC INFORMATION – PLEASE READ

1. Why did I get a notice?

This Notice explains that the Parties have reached a class-wide settlement on behalf of a class of property owners in the County of Monterey and the Court has provisionally certified the settlement class while it considers whether to finally approve the settlement agreement. If you received this notice, then the District's records show that you are a member of the Settlement Class defined above. Accordingly, you have legal rights and options that you may exercise before this case becomes final.

2. Where is this lawsuit pending?

This lawsuit is currently pending in Department 14 of the Superior Court of California for the County of Monterey before the Honorable Carrie M. Panetta. It is titled: *Heuer III v. Monterey Peninsula Water Management District*, Case No. 24CV002642.

3. What is a class action and who is involved?

In a class action lawsuit, one or more named parties called “Class Representatives” sue a defendant on behalf of other people who have similar claims against that defendant. Once the court certifies the class, each such person is a member of the Class, unless he or she is expressly excluded or specifically asks to be excluded from the Class before a deadline the court sets. All claims brought on behalf of the Class are resolved for all members of the Class in a single case before a single judge, and all Class members will be bound by the outcome. Entities such as businesses and non-profits can also be members of the Class.

Plaintiff Richards J. Heuer III is the Class Representative in this case. The Monterey Peninsula Water Management District is the defendant.

4. Why is this lawsuit a class action?

Plaintiff filed this action as a class action. The Court has provisionally decided that this lawsuit may be settled as a class action because it provisionally meets the requirements of California Code of Civil Procedure, section 382, which governs class actions in California state courts. More information about why the Court has provisionally certified the settlement class in this case can be found in the Court’s Order Preliminarily Approving the Settlement, which is available at www.wsc-settlement.com.

THE CLAIMS IN THE LAWSUIT

5. What is the lawsuit about?

Plaintiff and the Monterey Peninsula Taxpayers Association, represented by Class Counsel here, previously filed a separate lawsuit alleging that the District was violating the law by collecting the Water Supply Charge without offsetting the amounts collected by the User Fee. The court entered judgment in their favor and the judgment was upheld on appeal. In the current lawsuit, Plaintiff alleges that the District owes refunds to all persons for the amounts it collected in Water Supply Charges while it also collected the User Fee.

6. What are the terms of settlement?

Rather than continuing to litigate the claims, the parties have agreed to settle their dispute, subject to Court approval, with District providing a Settlement Fund to compensate class members for the alleged illegal charges and the class agreeing to give up any further claims challenging the Water Supply Charge. The District has also agreed to forebear implementing any new fees subject to Proposition 218 (similar to the Water Supply Charge) through June 30, 2026.

The amount of the Settlement Fund that the District will provide is \$3,353,245. The Settlement Fund will be used to pay attorney's fees and expenses, a service award to the Class Representative, and the District's costs of administering the settlement in the following estimated amounts:

Attorney's Fees	\$xxxxxx
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Attorney's Expenses	\$xxxxxx
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Service Award	\$xxxxxx
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Administrative Costs	\$xxxxxx
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After deducting such amounts, the net amount of \$xxxxxx ("Net Settlement") will be refunded directly to Class Members on a pro rata basis as determined by their total Water Supply Charges paid during the Class Period.

For residential customers, the estimated refund amount is \$xxxxxx.

In consideration for the Settlement, Plaintiff, Class Representative, and each Class Member, on behalf of themselves and any other legal or natural persons who may claim by, through or under them, agree to fully, finally and forever release, relinquish, acquit, discharge and hold harmless the Released Parties from any and all claims, demands, suits, petitions, liabilities, causes of action, rights, and damages of any kind and/or type relating to the subject matter of the Litigation arising during the period between December 1, 2022 through the date the Court enters Preliminary Approval Order including, but not limited to, compensatory, exemplary, punitive, expert, and/or attorneys' fees, or by multipliers, whether past, present, or future, mature, or not yet mature, known or unknown, suspected or unsuspected, contingent or non-contingent, derivative or direct, asserted or unasserted, whether based on federal, state or local law, statute, ordinance, regulation, code, contract, common law, or any other source, or any claim of any kind related, arising from, connected with, and/or in any way involving the Litigation, including, but not limited to, claims

regarding payments made to the District pursuant to the District's Ordinance No. 152. A full description of the release can be found in paragraphs 81-89 of the Settlement Agreement at www.wsc-settlement.com.

7. Why are the parties settling?

Class Counsel have fully litigated a related lawsuit through judgment and appeal. To achieve the original judgment, Class Counsel investigated the law and the facts and reviewed and analyzed thousands of pages of documents on the key issues in the case. However, issues regarding refunds were not addressed in prior litigation, and the District and Plaintiff disagree about the availability of, and potential scope of, any refunds to any Class Members.

Class Counsel have taken into account, *inter alia*, the expense and length of the litigation process that will be necessary to secure refunds to a class through trial and any potential appeal; the uncertain outcome and the risk of continued and protracted litigation and appeals, especially in complex actions such as this; the difficulties and delays inherent in complex litigation; and the inherent uncertainty and problems of proof of, and available defenses to, the claims asserted in the litigation. Plaintiff and Class Counsel believe that considering the foregoing, the Settlement represents a reasonable compromise of highly disputed and uncertain legal, factual and procedural issues, confers substantial benefits upon the Class and provides a result and recovery that is certain to be provided to Class Members, when any recovery should the Litigation continue is not certain. Based on their experienced evaluation of all of these factors, Plaintiff and Class Counsel have determined that the settlement of the Litigation, on the terms set forth herein, is in the best interests of the Class and is fair, reasonable, and adequate.

The Settlement is the result of arm's-length settlement negotiations and discussion between Class Counsel and the District's Counsel.

8. Will current rates be impacted?

The District is not currently imposing the Water Supply Charge. The District maintains that but for this settlement, it would seek to impose a new Proposition 218 fee for Fiscal Year 2025-2026.

WHO IS IN THE CLASS?

9. Am I part of the Class?

The Class includes all property owners who paid the Water Supply Charge from December 1, 2022 through [DATE]. Any judges assigned to the case, as well as their immediate family members, are excluded from the Class.

If you received a mailed notice regarding this class action settlement, according to the District's records, you are a member of the Class, and unless you ask to be excluded from the Settlement Class, you will be bound by the Settlement and receive all of the benefits therefrom. For information on how to be excluded from the Class, see section 14 of this Notice.

If you are unsure whether you are a member of the Class, you can review your property tax bills for Fiscal Year 22-23 and 23-24, or contact Class Counsel at the email or phone numbers listed in section 11 of this Notice.

10. Who is the Class Representative?

The Court has appointed Plaintiff Richards J. Heuer III to serve as the Class Representative. Mr. Heuer is a property owner in Monterey who has paid the Water Supply Charge during the relevant period.

THE LAW FIRMS REPRESENTING THE CLASS

11. Is a law firm representing the Class in this case?

The Court has appointed the law firms of Kearney Littlefield, LLP and Benink & Slavens, LLP as "Class Counsel." If you remain in the Class, these firms will represent your interests in this case. Class Counsel may be reached by the following methods:

Prescott W. Littlefield
pwl@kearneylittlefield.com
KEARNEY LITTLEFIELD, LLP
655 N. Central Ave, 17th Fl.
Glendale, CA 91203
Tel: (213) 473-1900

Eric J. Benink
eric@beninkslavens.com
BENINK & SLAVENS, LLP
8880 Rio San Diego Drive, 8th Fl.
San Diego, CA 92108
Tel: (619) 369-5252

12. Should I get my own lawyer?

Because Class Counsel are working on your behalf, you do not need to hire your own lawyer. If you would like a different lawyer to represent you, you may hire one. However, you will have to pay that lawyer yourself.

13. How will Class Counsel be paid?

Class Counsel intend to seek their fees and reimbursement for costs from the settlement fund that the District has agreed to provide, as the Court orders.

Class Counsel will move for attorney's fees and costs in the amount of **xxxxx** in fees and **xxxxxx** in costs. In addition, Plaintiff will seek a service award of **\$5,000** for his efforts to secure the recovery in this matter.

A hearing on the motion for fees, costs, and the service award is set for **at 1:30 p.m.** in Department 14 of the Superior Court for the County of Monterey, Monterey Courthouse, 2nd Floor, 1200 Aguajito Road, Monterey, CA 93940, the Honorable Carrie M. Panetta, presiding.

Class Counsel's attorneys' fees motion will be posted to www.wsc-settlement.com on or before **xxxxxxxx**. Any Class Member may object to the award or the amount awarded by following the objection procedure outlined in section 14(c) of this Notice.

YOUR RIGHTS AND OPTIONS

14. Do I need to do anything now?

IMPORTANT: You must decide now whether you want to remain in the Settlement Class or Opt Out. If you do not Opt Out of the Settlement Class, you may also object to any or all terms of the Settlement. Your options are as follows:

(a) NO ACTION REQUIRED to remain in the Settlement Class

You do not need to do anything to remain in the Settlement Class. If you do not take any action and the Settlement is approved and becomes final, you will automatically be deemed a member of the Settlement Class as of **XXXXXXXXXX**. A refund check will automatically be sent to you at the District's address for you.

(b) ACTION REQUIRED to be excluded from the Settlement Class

To exclude yourself from the Settlement Class, you must mail or email a request to be excluded from the settlement class to Class Counsel at the following address:

**Prescott W. Littlefield
pwl@kearneylittlefield.com
KEARNEY LITTLEFIELD, LLP
655 N. Central Ave, 17th Fl.
Glendale, CA 91203**

Your request must be in writing and (a) state your name and address; (b) reference *Heuer v. Monterey Peninsula Water Management District*; and (c) clearly state that you want to be excluded from the Settlement Class. IF MAILED, IT MUST BE POSTMARKED NO LATER THAN XXXXXXXX TO BE VALID. IF SENT BY EMAIL IT MUST BE SENT NO LATER THAN 11:59 p.m. PST ON XXXXXXXX TO BE VALID. ANY LATE REQUESTS TO BE EXCLUDED FROM THE SETTLEMENT CLASS WILL NOT BE ACCEPTED. Class Counsel will submit to the Court all opt out requests received before the deadline.

If you are considering excluding yourself from the Settlement Class, any legal claims that you make against the District separately may be barred by statutes of limitation which would prevent you from securing relief.

(c) ACTION REQUIRED to object to any terms of the Settlement

To object to all or part of the Settlement terms, you must mail or email your written objection(s) to Class Counsel as follows:

**Prescott W. Littlefield
pwl@kearneylittlefield.com
KEARNEY LITTLEFIELD, LLP
655 N. Central Ave, 17th Fl.
Glendale, CA 91203**

IF MAILED, YOUR WRITTEN OBJECTION(S) MUST BE POSTMARKED NO LATER THAN XXXXXXXX TO BE VALID. IF SENT BY EMAIL YOUR OBJECTION(S) MUST BE SENT NO LATER THAN 11:59 p.m. PST ON XXXXXXXX TO BE VALID. LATE OBJECTIONS

WILL NOT BE CONSIDERED BY THE COURT. Class Counsel will submit to the Court all valid objections it received before the deadline.

For your objection to be valid, you must include your full name and full address, the specific reason(s), if any, for your objection, including any legal support you wish to bring to the Court's attention; copies of any evidence or other information you wish to introduce in support of the objection(s); a statement of whether you intend to appear and argue at the Fairness Hearing; and your signature and date.

You must also provide a list of all other objections you, or your attorney, have submitted to any class action settlement in any state or federal court in the United States in the previous five years. If you or your counsel have not objected to any other class action settlement in the United States in the previous five years, you must affirmatively so state in the objection.

You must sign and date the Objection and reference *Heuer v. Monterey Peninsula Water Management District* on the envelope *and* on the written objection.

You also have the right to appear personally or through an attorney at your own expense at the Fairness Hearing at which time the Court will consider the Settlement, any valid and timely objections received, prior to deciding whether to approve the Settlement.

15. What are the risks if I remain in the Settlement Class?

If you stay in the Settlement Class, you will be bound by the settlement, including the release described in Section 6 and as more fully described in paragraphs 81-89 of the Settlement Agreement, and you will not be able to pursue a separate lawsuit against the District based on the same claims the Plaintiff has alleged against the District for the Class.

16. What are the benefits if I remain in the Settlement Class?

If you stay in the Settlement Class, you do not have to sue on your own for any of the claims Plaintiff has brought against the District in this case and you will receive a proportionate share of the funds the District is providing in the Settlement.

17. Do I have to come to any hearings?

No. You do not have to come to any hearings in this case. Class Counsel and Plaintiff will represent you. You are welcome to come at your own expense.

You may object to the proposed settlement in writing. You may also appear at the Fairness Hearing at your expense, either in person, telephonically, or through an attorney, provided you notify the Court of your intention to do so.

18. Can I attend the hearing for attorney's fees/service award?

Yes. A hearing on the motion for fees, costs, and the service award is set for at 1:30 p.m. in Department 14 of the Superior Court for the County of Monterey, Monterey Courthouse, 2nd Floor, 1200 Aguajito Road, Monterey, CA 93940, the Honorable Carrie M. Panetta, presiding. If you choose to remain in the Class, you may attend the hearing and be heard.

19. Will I get money or other benefits from this case?

You are entitled to a refund because you are part of the Settlement Class. The amount of that refund will depend on the dollar amount of Water Supply Charges you paid in Fiscal Years 22-23 and 23-24. The District will distribute these funds directly to each Class Member via a check.

FAIRNESS HEARING

20. What is the Fairness Hearing?

The Court has preliminarily approved the Settlement and will hold a hearing to decide whether to give final approval to the Settlement. You may attend, but you do not have to. The purpose of the Fairness Hearing will be for the Court to determine whether the Settlement should be approved as fair, reasonable, adequate, and in the best interests of the Settlement Class; to consider the award of attorneys' fees and reimbursement of expenses to Class Counsel; and to consider the request for service award to the Class Representative. At the hearing, the Court will be available to hear any objections and arguments concerning the proposed Settlement's fairness.

21. When and where is the Fairness Hearing?

On , at , a hearing will be held on the fairness of the proposed Settlement. The hearing will take place before the Honorable Carrie

M. Panetta in Department 14 of the Superior Court of California for the County of Monterey, Monterey Courthouse, 2nd Floor, 1200 Aquajito Rd., Monterey CA, 93940. The hearing may be postponed to a different date or time or location without notice. Please check www.wsc-settlement.com for any updates about the Settlement generally or the Fairness Hearing specifically. If the date or time of the Fairness Hearing changes, an update to the Settlement website will be the only way you will be informed of the change.

22. May I speak at the hearing?

At the Fairness Hearing, the Court will be available to hear any objections and arguments concerning the fairness of the Settlement. If you have requested exclusion from the Settlement, you may not speak at the hearing.

GETTING MORE INFORMATION

More information, relevant documents, including the full Settlement Agreement can be viewed and downloaded at www.wsc-settlement.com. The pleadings and other records in this litigation, including the Settlement Agreement, may be examined (a) online on the Superior Court of California, County of Monterey's website at <https://www.monterey.courts.ca.gov> or (b) in person at Records, Superior Court of California, County of Monterey, Monterey Courthouse, 2nd Floor, 1200 Aquajito Road, Monterey, CA 93940, between the hours of 8:30 a.m. and 4:00 p.m., Monday through Friday.

If you have any questions, you may contact Class Counsel by any of the methods identified in section 14 of this Notice.

Please do not contact the Judge or the Court.

EXHIBIT B

****Legal Notice****

If You Paid the Monterey Peninsula Water Management District's Water Supply Charge on Your Property Tax Bill Between December 1, 2022 and DATE, A Class Action Settlement May Affect Your Rights.

A court authorized this Notice. It is not a solicitation from a lawyer.

A settlement has been proposed in class action lawsuit brought by Richards J. Heuer III against the Monterey Peninsula Water Management District, claiming that the District must refund a Water Supply Charge paid by property owners in the County of Monterey because it violated District Ordinance No. 152. The settlement will affect your legal rights.

What relief does the Settlement provide? The settlement provides for a cash payment. You do not need to do anything to receive this payment. More details about the terms of the settlement can be found at the settlement website:

www.wsc-settlement.com

What are your options? You can stay in the Settlement Class by doing nothing, or you can elect not to be in the Settlement Class by submitting a request to be excluded. If you do nothing and the settlement is approved, you will remain in the Settlement Class and be bound by the settlement, and will receive a cash payment. If you timely request to be excluded from the Settlement Class, you will not receive any benefits from the settlement and may, if you choose, pursue your own claims against the District. You also have a right to object to all or any part of the settlement. You must submit any objections to the settlement, or request to be excluded from the settlement, on or before **DEADLINE**.

The Court will hold a fairness hearing on _____ at _____ to consider whether to approve the settlement. Visit the settlement website for further information.

EXHIBIT C

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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF MONTEREY**

RICHARDS J. HEUER III, an individual,
on behalf of himself and all others similarly
situated,

Plaintiff,

v.

MONTEREY PENINSULA WATER
MANAGEMENT DISTRICT, a California
public agency; and DOES through 10,

Defendants.

CASE NO. 24 CV002642

Unlimited Jurisdiction

(Case assigned to Hon. Carrie M. Panetta)
Dept 14)

**[PROPOSED] ORDER GRANTING
MOTION FOR PRELIMINARY
APPROVAL OF CLASS ACTION
SETTLEMENT**

Complaint Filed: June 25, 2024

This matter came before the Court as Plaintiff's Motion for Preliminary Approval of a Class Action Settlement ("Motion") on _____, 2025 in Department 14 of the Superior Court of California for the County of Monterey, the Honorable Carrie M. Panetta presiding.

Appearing for Plaintiff Richards J. Heuer III ("Plaintiff") were Prescott W. Littlefield of Kearney Littlefield, LLP and Eric J. Benink of Benink & Slavens, LLP.

Appearing for Defendant, the Monterey Peninsula Water Management District ("District"), was Matthew C. Slentz of Colantuono, Highsmith & Whatley, PC.

[PROPOSED] ORDER FOR PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT

1 Plaintiff and the District are referred herein together as “Parties.” Upon reviewing the motion,
2 the Class Settlement Agreement and Stipulation and exhibits attached thereto (“Settlement Agreement”
3 or “Settlement”), filed concurrently with the Motion, and accompanying supporting declaration and
4 pleadings, and good cause appearing thereon, IT IS HEREBY ORDERED that the Motion is granted,
5 on the following terms and conditions:

6 1. The Court, for purposes of this Order, adopts all defined terms as set forth in the
7 Settlement Agreement.

8 2. The Court preliminarily finds the Settlement to be fair, just, reasonable, and adequate,
9 and therefore preliminarily approves the Settlement, subject to further consideration by the Court at the
10 time of the Fairness Hearing.

11 3. The Court, for purposes of this Settlement only, pursuant to California Code of Civil
12 Procedure section 382 and Rule 3.769(c) and (d) of the California Rules of Court, finds that the
13 requirements for provisional certification of the Settlement Class have been satisfied, and conditionally
14 certifies the following Settlement Class:

15 All County of Monterey property owners who paid the Water Supply Charge
16 authorized and established by Monterey Peninsula Water Management
District Ordinance No. 152 during the Class Period.

17 4. The Class Period is December 1, 2022 through _____. (*Date of Order*)

18 5. Expressly excluded from the Settlement Class are (a) all persons who timely elect to
19 be excluded from the Settlement Class, and (b) the judge(s) to whom this case is assigned and any
20 immediate family members thereof.

21 6. Plaintiff Richards J. Heuer III is hereby appointed Class Representative for the
22 Settlement Class.

23 7. Prescott W. Littlefield of Kearney Littlefield, LLP and Eric J. Benink of Benink &
24 Slavens, LLP are hereby appointed Class Counsel for the Settlement Class.

25 8. The Court approves the District to administer the settlement, and the District shall
26 comply with the terms and conditions of the Settlement Agreement in carrying out its administrative
27 duties pursuant to the Settlement. The Court preliminarily approves the District’s administrative
28

1 expenses in the amount of \$ _____ as set forth in the Declaration of XXXXXX, filed in support
2 of the motion for preliminary approval.

3 9. A Fairness Hearing shall be held before this Court on _____, 2025 at
4 _____ a.m. / p.m. before the Honorable Carrie M. Panetta in Department 14 of the Superior Court for
5 the County of Monterey, Monterey Courthouse, 2nd Floor, 1200 Aguajito Road, Monterey, CA 93940,
6 to determine: (a) whether the proposed settlement of this action on the terms and conditions provided
7 for in the Settlement Agreement should be given final approval as fair, just, reasonable; (b) whether a
8 Final Order and Final Judgment should be entered; and (c) whether Class Counsel's application for
9 Attorney's Fees and Expenses and Class Representatives' request for a Service Award to be paid from
10 the Common Fund, should be approved. The Fairness Hearing may be postponed, adjourned or
11 continued by further order of the Court, without further notice to the Parties or the Settlement Class
12 Members.

13 10. The form, manner, and content of the Class Notice, attached to the Settlement
14 Agreement as Exhibits A and B will provide the best notice practicable to the Settlement Class under
15 the circumstances, constitutes valid, due, and sufficient notice to all Settlement Class Members, and
16 fully complies with California Code of Civil Procedure section 382, the Constitution of the State of
17 California, the Constitution of the United States, and other applicable law.

18 11. The Parties shall, through the District, disseminate Class Notice as provided in the
19 Settlement Agreement. The "Notice Date" means the first date upon which the Settlement Class
20 Notice is disseminated. The District shall complete the notice no later than forty five (45) days after
21 the date of the issuance of this Preliminary Approval Order ("Preliminary Approval Date").

22 12. Any Settlement Class Member who wishes to be excluded from the Settlement Class
23 must do one of the following: (1) mail a written request for exclusion to Class Counsel at the address
24 provided in the Notice, postmarked no more than sixty (60) calendar days from the Notice Date,
25 which is to be extended by seven (7) calendar days if a second Notice was sent to a forwarding
26 address (the "Exclusion Deadline"); or (2) send a written request for exclusion to Class Counsel by
27 e-mail, at the address provided in the Notice, on or before 11:59 Pacific Time on the Exclusion
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1 Deadline. The request must (a) state the class member's name and address; (b) reference *Heuer v.*
2 *Monterey Peninsula Water Management District*; and (c) clearly state that class member wants to
3 be excluded from the Settlement Class. A list reflecting all requests for exclusion shall be filed with
4 the Court by Class Counsel, *via* declaration, no later than sixteen (16) court days before the Fairness
5 Hearing. If a potential Settlement Class Member files a request for exclusion, they may not file an
6 objection to the Settlement. If any Class Member files a timely request for exclusion, they will not
7 be a member of the Settlement Class, will not release any Released Claims pursuant to this
8 Settlement or be subject to the Release, and will reserve all Released Claims they may have. All
9 Settlement Class Members will be bound by the Final Order and Final Judgment unless such Settlement
10 Class Members timely file valid written requests for exclusion or opt out in accordance with this Order.

11 13. Any Settlement Class Member who has not filed a timely written request for
12 exclusion and who wishes to object to the fairness, reasonableness, or adequacy of this Agreement
13 or the proposed Settlement, or to the award of Attorneys' Fees and Expenses, or to the Service
14 Awards to the Class Representative, must do one of the following: (1) mail a written statement,
15 describing the Class Member's objections in the specific manner set forth in this Section, to Class
16 Counsel at the address provided in the Notice, postmarked no later than sixty (60) calendar days
17 after the Notice Date, which is to be extended by seven (7) calendar days if a second Notice was
18 sent to a forwarding address (the "Objection Deadline"); or (2) send a written statement, describing
19 the Class Member's objections in the specific manner set forth in this section, to Class Counsel by
20 e-mail, at the address provided in the Notice, on or before 11:50 Pacific Time on the Objection
21 Deadline. Any such objection shall include: (1) the full name of Objector; (2) the current address
22 of Objector; (3) the property address that was subject to the Water Supply Charge; (4) the specific
23 reason(s), if any, for the objection, including any legal support the Class Member wishes to bring to
24 the Court's attention; (5) copies of any evidence or other information the Class Member wishes to
25 introduce in support of the objections; (6) a statement of whether the Class Member intends to
26 appear and argue at the Fairness Hearing; (7) the individual Class Member's written signature, with
27 date; and (8) a reference to *Heuer v. Monterey Peninsula Water Management District* on the
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1 envelope and written objection or in the subject line of the e-mail. Settlement Class Members may
2 personally object or object through an attorney retained at their own expense, however, each
3 individual Settlement Class Member objecting to the Settlement, in whole or part, shall personally
4 sign the objection. The objection must also include an explanation of why the objector falls within
5 the definition of the Settlement Class. In addition, any Settlement Class Member objecting to the
6 Settlement shall provide a list of all other objections submitted by the objector, or the objector's
7 counsel, to any class action settlements submitted in any state or federal court in the United States
8 in the previous five years. If the Settlement Class Member, or their counsel, has not objected to any
9 other class action settlement in the United States in the previous five years, they shall affirmatively
10 so state in the objection. Settlement Class Members who submit an objection may be subject to
11 discovery, including written discovery and depositions, on whether they are a Settlement Class
12 Member, and any other topic that the Court deems appropriate. All objections received shall be filed
13 with the Court by Class Counsel, via declaration, no later than sixteen (16) court days before the
14 Fairness Hearing.

15 14. Any Settlement Class Member who files and serves a written objection, as described in
16 paragraph 13, may appear at the Fairness Hearing, either in person or through personal counsel hired at
17 the Settlement Class Member's own expense, to object to the fairness, reasonableness, or adequacy of
18 the Settlement Agreement or the proposed Settlement, or to the award of Attorneys' Fees and Expenses,
19 or Service Awards to the Class Representative.

20 15. Plaintiff shall file and serve papers in support of final approval of the Settlement and/or
21 Class Counsel's application for an award of Attorneys' Fees and reimbursement of expenses, and Class
22 Representative's Service Award on or before sixteen (16) court days prior to the date of the Fairness
23 Hearing. Class counsel shall file two (2) memoranda of law, with the first addressing arguments in favor
24 of final approval of the Settlement and certification of the Settlement Class; and the second
25 memorandum of law addressing Class Counsel's application for an award of Attorneys' Fees and
26 reimbursement of expenses, and Service Award. Each memorandum shall not exceed twenty-five (25)
27 pages in length.

1 16. The Parties may file replies/responses to objections on or before sixteen (16) court days
2 before the Fairness Hearing.

3 17. The District shall file its declaration affirming that notice was given in accordance with
4 this Order and the Settlement Agreement on or before seven (7) court days before the Fairness Hearing.

5 18. If the proposed Settlement is finally approved, the Court shall enter a separate order
6 finally approving the Settlement and entering judgment.

7 19. The Parties are hereby ordered, pursuant to the terms and conditions of this Settlement
8 Agreement, to take all necessary and appropriate steps to establish the means necessary to implement
9 the Settlement.

10 20. Pending the Fairness Hearing, all proceedings in this Action, other than proceedings
11 necessary to carry out or enforce the terms and conditions of this Settlement Agreement and this Order
12 are hereby stayed.

13 21. Pending the Fairness Hearing, a preliminary injunction is hereby issued enjoining
14 Settlement Class Members who did not seek exclusion from the Class, pending the Court's
15 determination of whether the Settlement should be given final approval, from challenging in any action
16 or proceeding any matter covered by this Settlement, except for proceedings in this Court to determine
17 whether the Settlement of the Action will be given final approval.

18
19 IT IS SO ORDERED.

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21 DATED: _____

Judge of the Superior Court

EXHIBIT D

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**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF MONTEREY**

RICHARDS J. HEUER III, an individual,
on behalf of himself and all others similarly
situated,

Plaintiff,

v.

MONTEREY PENINSULA WATER
MANAGEMENT DISTRICT, a California
public agency; and DOES through 10,

Defendants.

CASE NO. 24 CV002642

Unlimited Jurisdiction

(Case assigned to Hon. Carrie M. Panetta)
Dept 14)

**[PROPOSED] ORDER GRANTING
FINAL APPROVAL OF CLASS
ACTION SETTLEMENT AND
JUDGMENT**

Complaint Filed: June 25, 2024

This matter came before the Court as Plaintiff's Motion for Final Approval of a Class Action Settlement ("Motion") and Class Counsel's application for Attorneys' Fees and reimbursement of costs, and Class Representative's application for a Service Award on _____, 2025 in Department 14 of the Superior Court of California for the County of Monterey, the Honorable Carrie M. Panetta presiding.

Appearing for Plaintiff Richards J. Heuer III ("Plaintiff") were Prescott W. Littlefield of Kearney Littlefield, LLP and Eric J. Benink of Benink & Slavens, LLP.

1 Appearing for Defendant, the Monterey Peninsula Water Management District (“District”), was
2 Matthew C. Slentz of Colantuono, Highsmith & Whatley, PC. Plaintiff and the District are referred
3 herein together as “Parties.”

4 Upon reviewing the Motion and supporting papers and declarations, including the pleadings
5 filed in support of the Motion for Final Approval of Class Action Settlement, Class Counsel’s application
6 for Attorneys’ Fees and reimbursement of costs, and Class Representative’s application for a Service
7 Award, and having reviewed and considered the Class Action Settlement Agreement and exhibits
8 attached thereto filed in this Action (“Settlement Agreement”), and any timely and proper objections,
9 and good cause appearing thereon, the Court makes the following findings and determinations, and

10 **ORDERS, ADJUDGES, AND DECREES as follows:**

11 1. The Court, for purposes of this Final Order and Final Judgment, adopts all defined terms
12 as set forth in the Settlement Agreement.

13 2. The Court has continuing and exclusive jurisdiction over the Settlement Agreement and
14 the Parties thereto for the purpose of construing, enforcing and administering the Settlement Agreement.

15 3. The Court finally certifies, pursuant to California Code of Civil Procedure section 382,
16 the following Settlement Class:

17 All County of Monterey property owners who paid the Water Supply Charge
18 authorized and established by Monterey Peninsula Water Management
District Ordinance No. 152 during the Class Period.

19 4. The Class Period is December 1, 2022 through _____. (*Date of Order*)

20 5. Expressly excluded from the Settlement Class are (a) all persons who timely elect to
21 be excluded from the Settlement Class, and (b) the judge(s) to whom this case is assigned and any
22 immediate family members thereof.

23 6. Plaintiff Richards J. Heuer III is hereby appointed Class Representative for the
24 Settlement Class.

25 7. Prescott W. Littlefield of Kearney Littlefield, LLP and Eric J. Benink of Benink &
26 Slavens, LLP are hereby appointed Class Counsel for the Settlement Class.

27 8. The Court approves the District to administer the settlement, and the District shall
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1 comply with the terms and conditions of the Settlement Agreement in carrying out its duties pursuant to
2 the Settlement.

3 9. With respect to the Settlement Class, the Court finds that: (a) the members of the
4 Settlement Class are so numerous that their joinder is impracticable; (b) there are questions of law and
5 fact common to the Settlement Class which predominate over any individual questions; (c) the claims
6 of the Class Representatives are typical of the claims of the Settlement Class; and (d) for purposes of
7 settlement, a class action is superior to other available methods for the fair and efficient adjudication of
8 the controversy considering: (i) the interest of the Settlement Class in individually controlling the
9 prosecution of the separate actions, (ii) the extent and nature of any litigation concerning the controversy
10 already commenced by the Settlement Class, (iii) the desirability or understandability of concentrating
11 the litigation of these claims in the particular forum, and (iv) the difficulties likely to be encountered in
12 the management of the action.

13 10. Class Notice to the Settlement Class was provided in accordance with the Preliminary
14 Approval Order and satisfied the requirements of due process, California Code of Civil Procedure
15 section 382 and Rule 3.766 of the California Rules of Court and (a) provided the best notice practicable,
16 and (b) was reasonably calculated under the circumstances to apprise Settlement Class Members of the
17 pendency of the Action, the terms of the Settlement, their right to appear at the Fairness Hearing, their
18 right to object to the Settlement, and their right to exclude themselves from the Settlement.

19 11. The Settlement Agreement was arrived at following serious, informed, adversarial, and
20 arm's length negotiations conducted in good faith by counsel for the parties and is supported by the
21 majority of the members of the Settlement Class. This Court hereby finally approves the Settlement as
22 fair, adequate, reasonable, and in the best interests of the Settlement Class.

23 12. Upon the Effective Date of this Final Order and Final Judgment, the District shall
24 commence paying all consideration, including the Settlement Fund in the amount of \$3,353,245.00, in
25 accordance with the timing, terms and conditions set forth in the Settlement Agreement.

26 13. Upon the Effective Date of this Final Order and Final Judgment, Plaintiff, Class
27 Representative, and each Class Member, on behalf of themselves and any other legal or natural
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1 persons who may claim by, through or under them, agree to fully, finally and forever release,
2 relinquish, acquit, discharge and hold harmless the Released Parties from any and all claims,
3 demands, suits, petitions, liabilities, causes of action, rights, and damages of any kind and/or type
4 relating to the subject matter of the Litigation arising during the period between December 1, 2022
5 through the date the date the Court enters Preliminary Approval Order including, but not limited to,
6 compensatory, exemplary, punitive, expert, and/or attorneys' fees, or by multipliers, whether past,
7 present, or future, mature, or not yet mature, known or unknown, suspected or unsuspected,
8 contingent or non-contingent, derivative or direct, asserted or unasserted, whether based on federal,
9 state or local law, statute, ordinance, regulation, code, contract, common law, or any other source,
10 or any claim of any kind related, arising from, connected with, and/or in any way involving the
11 Litigation, including, but not limited to, claims regarding payments made to the District pursuant to
12 the District's Ordinance No. 152. Plaintiff, Class Representative, and each Class Member, on behalf
13 of themselves and any other legal or natural persons who may claim by, through or under them,
14 expressly waive any and all rights and benefits conferred by the provisions of Section 1542 of the
15 California Civil Code, and expressly consent that this Agreement shall be given full force and effect
16 according to each and all of its express terms and provisions, including those relating to unknown
17 and unsuspected claims, if any, from the facts alleged in the Litigation.

18 14. Persons who timely and properly excluded themselves, as set forth in Exhibit A, attached
19 hereto, are not Settlement Class Members and not bound by this Final Order and Final Judgment or the
20 Release.

21 15. For the reasons set forth in their application for attorney's fees, the Court hereby awards
22 Class Counsel attorney's fees in the amount of \$ _____ and reimbursement of
23 expenses in the amount of \$ _____. For the reasons set forth in the Class
24 Representative's Request for Service Awards, the Court hereby awards the Class Representative
25 \$ _____ as a Service Award. The foregoing sums shall be paid from the Settlement Fund
26 in accordance with the Settlement Agreement.

27 16. The District may deduct from the Settlement Fund the amount of \$ _____
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1 for its administrative services.

2 17. Plaintiff and the Settlement Class, on the one hand, and the District, on the other, shall
3 take nothing further from the other side except as expressly set forth in the Settlement Agreement and
4 this Final Order and Final Judgment.

5 18. The Parties are authorized to implement the terms of the Settlement Agreement.

6 19. Pursuant to California Code of Civil Procedure section 664.6 and rule 3.769(h) of the
7 California Rules of Court, the Court reserves exclusive and continuing jurisdiction over this Action, the
8 Plaintiff, the Class Members, and Defendant for purposes of administering, consummating, enforcing,
9 and interpreting the Settlement Agreement, the Final Order and Final Judgment, and for any other
10 necessary purpose, and to issue related orders necessary to effectuate the final approval of the Settlement
11 Agreement.

12 20. The parties are hereby ordered, pursuant to the terms and conditions of this Settlement
13 Agreement, to take all necessary and appropriate steps to establish the means necessary to implement
14 the Settlement.

15 21. The District shall file a report with the Court no later than 120 days after this judgment
16 is entered stating the aggregate amounts disbursed to Class Members and the dates of such
17 disbursements. The Parties shall jointly file a report with the Court no later than eighteen months after
18 this judgment is entered stating the amount disbursed to United Way Monterey County for Cal-Am's
19 Hardship Benefit Program representing the amounts of the checks that were not ultimately cashed by
20 class members including those checks for Class Members who could not be located.

21 22. This document shall constitute a Judgment for purposes of California Rule of Court
22 3.769(h). The Court is directed to enter this Final Order and Final Judgment forthwith.

23
24 IT IS SO ORDERED.

25
26 DATED: _____

Judge of the Superior Court

EXHIBIT E

<u>Actor</u>	<u>Event</u>	<u>Time</u>	<u>Reference</u>
The Court	Enter Preliminary Approval (PA)		¶ 34
District	Gather Class Member Information	PA + 15 days	¶ 68(a)
Plaintiff	Establish Settlement Website	Prior to PA	¶ 68(c)
District	Mail Notice	PA + 45 days	¶ 68(b)
Class Member	Exclusion Deadline	Notice + 60 days	¶ 20
Class Member	Objection Deadline	Notice + 60 days	¶ 29
Plaintiff	File for Final Approval/Attorney Fees and Expenses/Service Award	At least Notice + 75 Days [and no later than] Fairness Hearing (-) 16 Court Days	¶¶ 92 (Final Approval); 96 (Service Award); 99 (Atty Fees)
Plaintiff	Declaration re optouts and objections and responses to objections	At least Notice + 75 Days [and no later than] Fairness Hearing (-) 16 Court Days	¶ 74
District and Plaintiff	Submit Declarations re Notice	Fairness Hearing (-) 7 days	¶ 69
Court	Fairness Hearing	At least PA + 120 days + 16 Court days	¶ 21
Court	Enters Final Judgment	TBD	
	Effective Date	(Likely) 60 days after Entry of Final Judgment	¶ 18
District	Confirm Net Settlement Fund Remaining	Effective Date + 21 days	¶ 60(d)
District	Pay Service Award, Attorney Fees and Expenses, deduct District Admin Costs	Effective Date + 30 days	¶ 60(a) & (b)
District	Mail Refund Checks	Effective Date + 30 days	¶ 60(e)
District	Verify Refunds	Effective Date + 90 days	¶ 62
District	Report to Court re: Refunds	Effective Date + 120 days	Final Approval Order, ¶ 21
District	Remit Cy Pres	Refund + 1 year	¶ 61